

UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF NEW YORK

-----X
ANTON COLBERT, Individually and on Behalf
of All Others Similarly Situated,

Plaintiff,

- v -

RIO TINTO PLC, RIO TINTO LIMITED,
THOMAS ALBANESE and GUY ROBERT
ELLIOTT,

Defendants.

: 17 Civ. 8169 (AT) [rel. 17 Civ. 7994 (AT)]

:
: **RULE 7.1 STATEMENT OF**
: **RIO TINTO PLC**

Pursuant to Federal Rule of Civil Procedure 7.1 and to enable Judges and Magistrate
Judges of the Court to evaluate possible disqualification or recusal, the undersigned counsel for
Defendant Rio Tinto plc certifies that Rio Tinto plc, which uses a dual-listed-company structure
with Rio Tinto Limited, does not have a parent corporation and that Shining Prospect Pte. Ltd, a
subsidiary of Aluminum Corporation of China Limited, owns more than 10% of its stock.

Dated: New York, New York
February 9, 2018

Respectfully submitted,

GIBSON, DUNN, & CRUTCHER LLP

By: /s/ Mark A. Kirsch
Mark A. Kirsch

200 Park Avenue
New York, New York 10166
Tel: (212) 351-4000
Fax: (212) 351-4035
Email: mkirsch@gibsondunn.com

*Counsel for Rio Tinto plc and Rio
Tinto Limited*